

# Directed univalence in simplicial homotopy type theory

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# Simplicial homotopy type theory (sHoTT)

- **Goal:** develop synthetic  $\infty$ -category theory
- Compatibility with other approaches such as Riehl–Verity, Cisinski–Crossen–Nguyen–Walde, Martini–Wolf
- **Setting:** Homotopy type theory extended by an **interval**  $\mathbb{I}$ , after Riehl–Shulman '17:  
 $\rightsquigarrow$  shapes  $\mathbb{I}^n, \Delta^n, \partial\Delta^n, \Lambda_k^n, \dots$

## Definition (Categories and groupoids)

- $A$  is a **pre-category** if  $A^{\Delta^2} \xrightarrow{\simeq} A^{\Lambda_1^2}$  (after Joyal).
- A pre-category  $A$  is a **category** if  $A \xrightarrow{\simeq} A^{\mathbb{E}}$
- A type  $A$  is a **groupoid** or **space** if  $A \xrightarrow{\simeq} A^{\Delta^1}$ .

## Definition (hom-types)

If  $A$  is a type with terms  $x, y : A$ , then the type of **homomorphisms** is defined by:

$$\text{hom}_A(x, y) := \Sigma_{f:\mathbb{I} \rightarrow A} (f \cdot 0 = x) \times (f \cdot 1 = y)$$

# Synthetic $\infty$ -category theory in sHoTT

- Functors, natural transformations, discrete fibrations & fibered Yoneda lemma, adjunctions (Riehl–Shulman '17)
- Cartesian fibrations (Buchholtz–W '21) & generalizations (W '21)
- Limits and colimits (Bardomiano '22)
- Conduché fibrations (Bardomiano '24)
- Proof assistant Rzk (Kudasov '23) and formalization of fibered Yoneda lemma (Kudasov–Riehl–W '23)
- sHoTT library and more formalizations (Abouneqm, Bakke, Bardomiano, Campbell, Carlier, Chatzidiamantis-Christoforidis, Ergus, Hutzler, Kudasov, Maillard, Martínez, Pradal, Rasekh, Riehl, Verity, Walde, W '23–)

**But many desiderata missing!**

*opposite categories, categories  $\mathcal{S}$  and  $\mathbf{Cat}$ , presheaves & Yoneda embedding, higher algebra,*

...

# Modal operators

- **Multi-modal dependent type theory (MTT)** to the rescue!  
(Gratzer–Kavvos–Nuyts–Birkedal '20)
- add modal operators: **opposite**  $\flat$ , **core**  $\sharp$ , **twisted arrows**  $\dagger$
- plus axioms about the interaction between the simplicial and modal structure
- This unlocks a whole new range of constructions. . .

$$\begin{array}{c} \mathcal{S}^{\Delta^{\text{op}}} \\ \downarrow \uparrow \downarrow \uparrow \\ \mathcal{S} \end{array}$$

See also work on cohesive  $\infty$ -topoi by Schreiber ('13), Shulman ('18), Myers–Riley ('23), as well as internal universes via a tiny interval by Licata–Orton–Pitts–Spitters ('18) and Riley ('24).

# Directed univalence

Theorem (Directed univalence for groupoids, Gratzer–W–Buchholtz '23)

- 1 *There is a category  $\mathcal{S}$  whose terms are groupoids.*
- 2  *$\mathcal{S}$  classifies left fibrations.\**
- 3  *$\mathcal{S}$  is closed under  $\Sigma$ , identity types, and (co)limits.*
- 4  *$\mathcal{S}$  is **directed univalent**:*

$$\text{arrtofun} : (\Delta^1 \rightarrow \mathcal{S}) \simeq \left( \sum_{A, B: \mathcal{S}} (A \rightarrow B) \right)$$

Also investigated in a bicubical setting by Weaver–Licata '20, but using some different methods.

Corollary (Directed structure identity principle (DSIP))

*Over  $\mathcal{S}$ , types of algebraic structures have the correct notions of morphisms.*

Corollary (Categories of algebraic structures)

*We can define categories of algebraic structures such as: posets, reflexive graphs (hence  $\Delta$ ),  $\infty$ -monoids, and spectra.*

# Presheaf theory

The category  $\mathcal{S}$  of spaces unlocks various constructions (forthcoming).

Let  $\mathcal{C}$  be a category. Using the twisted arrow modality  $\mathfrak{t}$ , we obtain the hom-bifunctor  $\Phi : \mathcal{C} \times \langle \mathfrak{o} | \mathcal{C} \rangle \rightarrow \mathcal{S}$  and presheaf categories  $\text{PSh}(\mathcal{C}) := \langle \mathfrak{o} | \mathcal{C} \rangle \rightarrow \mathcal{S}$ .

Theorem (Yoneda lemma)

We have  $\text{hom}(\Phi(-, c), X) \cong X(c)$ , naturally in each  $c : \mathcal{C}$  and  $X : \text{PSh}(\mathcal{C})$ .

Theorem (Descent for presheaf categories)

Let  $E := \text{PSh}(A)$  and  $F :_{\flat} \mathcal{C} \rightarrow E$ , then  $E / \varinjlim_{c:\mathcal{C}} F(c) \simeq \varprojlim_{c:\mathcal{C}} E / F(c)$ .

Corollary (Internal natural models)

We can give an internal treatment of models of HoTT à la Awodey's natural models.

# Kan extensions

Definition (Kan extensions)

Given  $f :_{\flat} C \rightarrow D$  and a category  $E$ , the left Kan extension  $\text{lan}_f$  is the left adjoint to  $f^* : E^D \rightarrow E^C$ .

Theorem (Colimit formula)

If  $E$  is cocomplete, then  $\text{lan}_f$  exists. For  $X :_{\flat} C \rightarrow E$  it computes to  $\text{lan}_f X d \simeq \varinjlim (C \times_D D/d \rightarrow C \rightarrow E)$












Theorem (Quillen's Theorem A)

A functor  $f :_{\flat} C \rightarrow D$  is right cofinal if and only if  $L_0(C \times_D d/D) \simeq \mathbf{1}$  for each  $d :_{\flat} D$ .

Corollary (Properness)

Cartesian fibrations are proper functors.

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